



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dawson, Timothy  
Serial No.: 10/711,853  
Filed: October 8, 2004  
Due Date: August 22, 2006  
Title: Handheld Ultraviolet Germicidal System

Examiner: Farah, Ahmed M.  
Group Art Unit: 3735  
Docket: 60036.0001US01

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on August 22, 2006.

By:

*Colin S. Wright*  
Name: Colin S. Wright

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**53377**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate under 37 C.F.R. 1.8
- ☒ Supplemental Information Disclosure Statement; Form PTO-1449
- ☒ A credit card authorization for \$180.00 is attached for the filing fee of the IDS.
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 50-3634. A duplicate of this sheet is enclosed.

HOPE BALDAUFF HARTMAN, LLC  
P.O. Box 2825, Atlanta, GA 30301  
404.522.1100

By:

*Colin S. Wright*  
Name: Colin S. Wright  
Reg. No.: 57,202  
CSW/bkw



S/N 10/711,853

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Timothy Dawson	Examiner:	Farah, Ahmed M.
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By:

Name: Colin S. Wright

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. §1.114 or a CPA under 37 C.F.R. §1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. §1.113; ii) a Notice of Allowance under 37 C.F.R. §1.311; or iii) an action that otherwise closes prosecution on the application. Enclosed is a credit card authorization in the amount of \$180.00 under 37 C.F.R. §1.17(p) for consideration of the items listed on the enclosed Form 1449.

The reference cited in this Supplemental Information Disclosure Statement was also cited in a previous submission. However, no fee was paid at that time, hence the re-submission with the appropriate fee.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Because this application was filed after June

30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

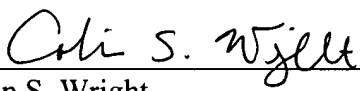
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3634.

Respectfully submitted,

HOPE BALDAUFF HARTMAN, LLC

Date: August 22, 2006

  
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Colin S. Wright  
Reg. No. 57,202

HOPE BALDAUFF HARTMAN, LLC  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

AUG 25 2006

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/711,853
Filing Date	October 8, 2004
First Named Inventor	Timothy Dawson
Art Unit	3735
Examiner Name	Farah, Ahmed M.
Attorney Docket Number	60036.0001US01

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*